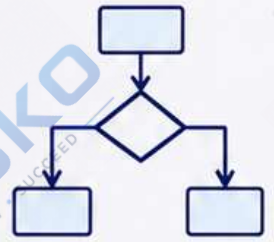


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Infographics



Visual Learning

Better understanding through visual content.



Simplified Concepts

Complex topics explained in simple and visual form.



Quick Revision

Easy to revise important points and diagrams.

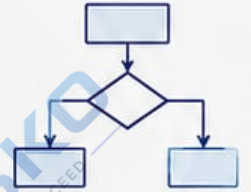


Exam Ready

Helps in faster revision and better exam preparation.



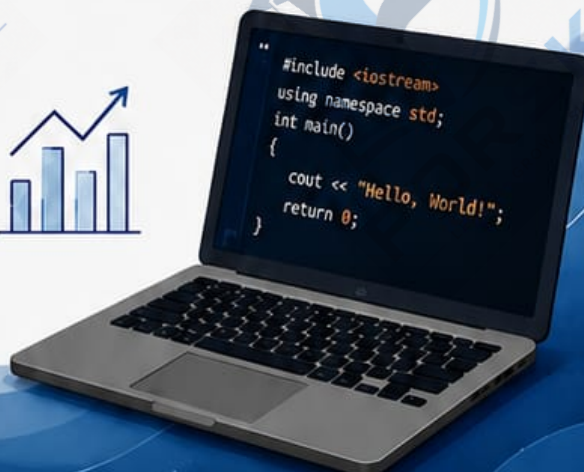
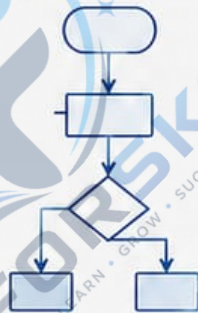
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Unit - IV





PROCEDURE

Introduction, Importance, Types, Advantages & Disadvantages

```
CREATE PROCEDURE ...  
BEGIN  
  -- SQL Statements  
END;
```

1 INTRODUCTION

A Procedure is a named PL/SQL block that performs a specific task.

It can accept input parameters, perform operations and return results (optional). It is stored in the database and can be reused whenever needed.



2 IMPORTANCE

- ✓ Improves modularity: Breaks large programs into smaller, manageable units.
- ✓ Reusability: Write once and use many times.
- ✓ Reduces development time and effort.
- ✓ Improves performance: Stored and compiled in the database.
- ✓ Enhances security: Access to data can be controlled.
- ✓ Easier maintenance: Changes in logic are made in one place.
- ✓ Promotes data integrity and consistency.

3 TYPES OF PROCEDURES

A) STORED PROCEDURE



- Stored in the database.
- Compiled and stored.
- Can be called many times.
- Can accept IN, OUT and IN OUT parameters.

SYNTAX:

```
CREATE OR REPLACE PROCEDURE proc_name  
(param1 IN datatype, param2 OUT datatype, ...)  
IS  
BEGIN  
  -- SQL statements  
END;  
/
```

B) USER DEFINED PROCEDURE



- Created by users as per their requirement.
- Stored in the user schema.
- Invoked using CALL statement.
- Helps to organize business logic.

SYNTAX:

```
CREATE OR REPLACE PROCEDURE proc_name  
IS  
BEGIN  
  -- SQL statements  
END;  
/
```

4 ADVANTAGES



Modularity

Breaks complex tasks into smaller procedures.



Reusability

A procedure can be used multiple times in applications.



Better Performance

Stored and precompiled, so execution is faster.



Security

Access can be restricted using privileges.



Easy Maintenance

Changes are required in one place only.



Data Integrity

Helps maintain consistency and accuracy of data.



Code Organization

Makes the program structure clean and easy to understand.

5 DISADVANTAGES



Complex Debugging

Errors in procedures are sometimes difficult to trace.



Database Dependency

Applications become dependent on the database.



Not Suitable for Simple Tasks

Overhead of creating a procedure is not needed for small or single-use tasks.



Consumes Database Resources

Excessive use may increase memory and storage usage.



Changes Require Recompilation

Any change in the procedure needs recompilation.



Version Control Issues

Managing different versions of procedures can be challenging.

6 EXAMPLE

CREATE PROCEDURE

```
CREATE OR REPLACE PROCEDURE get_emp_salary  
(p_emp_id IN NUMBER,  
 p_emp_name OUT VARCHAR2,  
 p_salary OUT NUMBER)  
IS  
BEGIN  
  SELECT ename, salary INTO p_emp_name, p_salary  
  FROM employees  
  WHERE emp_id = p_emp_id;  
EXCEPTION  
  WHEN NO_DATA_FOUND THEN  
    p_emp_name := 'Not Found';  
    p_salary := 0;  
END;  
/
```

CALL PROCEDURE

```
DECLARE  
  v_name VARCHAR2(50);  
  v_sal NUMBER;  
BEGIN  
  get_emp_salary(101, v_name, v_sal);  
  DBMS_OUTPUT.PUT_LINE('Name : ' || v_name);  
  DBMS_OUTPUT.PUT_LINE('Salary : ' || v_sal);  
END;  
/
```

OUTPUT (Example)

```
Name : Alex  
Salary : 50000
```

DROP PROCEDURE

```
DROP PROCEDURE get_emp_salary;
```



Procedures help in writing structured, reusable and efficient database programs and are an essential part of PL/SQL.



Use Procedures to build powerful, secure, and maintainable database applications!





CREATION OF PROCEDURE

(Step-by-Step Guide)

```
BEGIN
-- SQL Statements
END;
```



A Procedure is a named PL/SQL block stored in the database that performs a specific task.

1. SYNTAX

```
CREATE OR REPLACE PROCEDURE procedure_name
(
  parameter1 IN datatype1,
  parameter2 OUT datatype2,
  parameter3 IN OUT datatype3
)
IS
-- Declarations
BEGIN
-- Executable statements
EXCEPTION
-- Exception handling (optional)
END procedure_name;
/
```

Where,

- **IN** → Value is passed to the procedure.
- **OUT** → Value is returned from the procedure.
- **IN OUT** → Value is both passed to and returned from the procedure.

2. STEPS TO CREATE A PROCEDURE

1. Decide the task that the procedure will perform.
2. Define the procedure name (meaningful and unique).
3. Declare the parameters with mode (IN / OUT / IN OUT) and data type.
4. Write the PL/SQL block:
 - Declarations (if any)
 - SQL statements / Logic
 - Exception handling (optional)
5. End the block with END procedure_name;
6. Execute the procedure using CALL statement.



3. EXAMPLE – CREATE PROCEDURE

```
-- Procedure to give employee a salary hike
CREATE OR REPLACE PROCEDURE give_hike
(
  p_emp_id IN NUMBER,
  p_percent IN NUMBER,
  p_new_sal OUT NUMBER
)
IS
  v_old_sal NUMBER;
BEGIN
  -- Get current salary
  SELECT salary INTO v_old_sal
  FROM employees
  WHERE emp_id = p_emp_id;

  -- Calculate new salary
  p_new_sal := v_old_sal + (v_old_sal * p_percent / 100);

  -- Update salary
  UPDATE employees
  SET salary = p_new_sal
  WHERE emp_id = p_emp_id;

END give_hike;
/
```

Explanation



Parameters:

- p_emp_id → Employee ID (IN)
- p_percent → Hike percentage (IN)
- p_new_sal → New salary (OUT)



Logic:

1. Fetch old salary
2. Calculate new salary
3. Update salary in table
4. Return new salary in OUT parameter

Sample Table (employees)

emp_id	emp_name	salary
101	Alex	50000
102	Ravi	45000
103	Neha	60000
...	...	...

4. HOW TO EXECUTE (CALL) THE PROCEDURE

```
DECLARE
  v_new_salary NUMBER;
BEGIN
  CALL give_hike(101, 10, v_new_salary); -- 10% hike
  DBMS_OUTPUT.PUT_LINE('New Salary: ' || v_new_salary);
END;
```



Output (Example)
New Salary: 55000

KEY POINTS



- ✓ Procedure is stored and can be used multiple times.
- ✓ It improves modularity and reusability of code.
- ✓ It can accept parameters and return values.
- ✓ It is called using CALL statement.
- ✓ Changes in procedure require recompilation automatically.

5. DROP PROCEDURE

```
DROP PROCEDURE give_hike;
/
```



Use DROP to remove a procedure from the database.

USES OF PROCEDURE

- ✓ Encapsulate business logic
- ✓ Reduce application code
- ✓ Improve performance
- ✓ Enhance security
- ✓ Easier maintenance

EXAMPLE USE CASES

- ✓ Salary calculation
- ✓ Report generation
- ✓ Data validation
- ✓ Batch processing
- ✓ Complex business rules



Procedures make your database applications structured, reusable and efficient!





FUNCTION

Introduction, Importance, Types, Advantages & Disadvantages

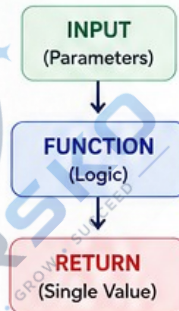
```
CREATE FUNCTION func_name  
( parameters )  
RETURN data_type  
IS  
-- statements  
BEGIN  
-- logic  
END;
```

$f(x)$

1 INTRODUCTION

A Function is a named PL/SQL block that performs a specific task and **RETURNS** a single value.

- ✓ It can accept input parameters.
- ✓ It must return a value of the declared return type.
- ✓ It is stored in the database and can be called from SQL or PL/SQL.



2 IMPORTANCE

- ✓ Promotes code reusability.
- ✓ Improves modularity and structure of code.
- ✓ Reduces development time and effort.
- ✓ Can be used in SQL queries (SELECT, WHERE, etc.).
- ✓ Improves performance by minimizing repeated code.
- ✓ Enhances security by controlling access to logic.
- ✓ Simplifies complex calculations and business rules.



3 TYPES OF FUNCTIONS



A) BUILT-IN FUNCTIONS

Predefined functions provided by the system.

Example: UPPER(), LENGTH(), NVL(), SYSDATE(), etc.

Example

```
SELECT UPPER(emp_name) FROM employees;
```



B) USER-DEFINED FUNCTIONS

Created by users as per their requirements.

Stored in the database.

Can be called from SQL or PL/SQL.

Example

```
SELECT get_bonus(emp_id) FROM dual;
```

4 ADVANTAGES

- Reusability**: Functions can be used multiple times in programs.
- Modularity**: Breaks complex logic into small, manageable units.
- Better Performance**: Reduces redundant code and processing.
- Security**: Access can be controlled using privileges.
- Easy Maintenance**: Changes are required in one place only.
- Data Integrity**: Helps maintain consistency and accuracy.

5 DISADVANTAGES

- Complex Debugging**: Errors in functions are sometimes difficult to trace.
- Database Dependency**: Applications become dependent on the database.
- Not Suitable for Simple Tasks**: Overhead of creating a function is not required for small tasks.
- Performance Overhead**: Function calls may add overhead in some cases.
- Changes Require Recompilation**: Any change in the function needs recompilation.
- Version Control Issues**: Managing different versions of functions can be challenging.

6 EXAMPLE OF USER-DEFINED FUNCTION

```
-- Function to calculate bonus  
CREATE OR REPLACE FUNCTION get_bonus  
( p_salary IN NUMBER )  
RETURN NUMBER  
IS  
    v_bonus NUMBER;  
BEGIN  
    IF p_salary > 50000 THEN  
        v_bonus := p_salary * 0.10; -- 10% bonus  
    ELSE  
        v_bonus := p_salary * 0.05; -- 5% bonus  
    END IF;  
    RETURN v_bonus;  
END get_bonus;  
/
```

Calling the Function

```
SELECT get_bonus(60000) AS bonus FROM dual;
```

Output

```
BONUS  
-----  
6000
```

FUNCTION vs PROCEDURE

Aspect	Function	Procedure
Return Value	Returns a single value	Does not return any value
Usage in SQL	Can be used in SQL queries	Cannot be used in SQL queries
CALL Statement	Not called using CALL	Called using CALL statement
Purpose	Performs calculation and returns result	Performs actions or tasks
Output	Must return a value	May have OUT parameters

KEY POINTS

- ✓ A Function must RETURN a value.
- ✓ It can be used in expressions and SQL statements.
- ✓ It improves code reusability and maintainability.
- ✓ It should be used when a result is needed.



Functions make your code powerful, reusable, and easy to maintain!

$f(x)$



CREATION OF FUNCTION

Step-by-Step Guide

A Function is a named PL/SQL block that performs a specific task and **RETURNS** a single value.

```
CREATE FUNCTION func_name
  ( parameters )
RETURN return_type
IS
  -- statements
BEGIN
  -- logic
END;
```

$f(x)$

1. SYNTAX

```
CREATE OR REPLACE FUNCTION function_name
( parameter1 IN datatype1,
  parameter2 IN datatype2 DEFAULT value, ... )
RETURN return_datatype
IS
  -- Declarations (optional)
BEGIN
  -- Executable statements
  RETURN value;
EXCEPTION
  -- Exception handling (optional)
END function_name;
/
```

IN → Value is passed to the function.

DEFAULT → Default value if argument is not supplied.

RETURN → The value returned by the function.

2. STEPS TO CREATE A FUNCTION

1. Decide the task that the function will perform.
2. Choose a meaningful name for the function.
3. Define the parameters with mode (IN) and data type.
4. Specify the return data type.
5. Write the PL/SQL block:
 - Declarations (if any)
 - Executable statements / Logic
 - RETURN statement (mandatory)
6. End the block with END function_name;
7. Compile and execute the function using SELECT statement.



3. EXAMPLE - CREATE FUNCTION

```
-- Function to calculate bonus
CREATE OR REPLACE FUNCTION get_bonus
( p_salary IN NUMBER )
RETURN NUMBER
IS
  v_bonus NUMBER;
BEGIN
  IF p_salary >= 50000 THEN
    v_bonus := p_salary * 0.10; -- 10% bonus
  ELSIF p_salary >= 30000 THEN
    v_bonus := p_salary * 0.05; -- 5% bonus
  ELSE
    v_bonus := 0; -- No bonus
  END IF;
  RETURN v_bonus;
END get_bonus;
/
```

Explanation

p_salary IN NUMBER → Input parameter (Employee salary)

RETURN NUMBER → Function returns a NUMBER value

Logic → Calculates bonus based on salary

RETURN v_bonus → Returns the calculated bonus

Sample Data

Employee	Salary	get_bonus(salary)
Asha	60000	6000 (10%)
Ravi	35000	1750 (5%)
Neha	25000	0 (0%)

4. HOW TO CALL (EXECUTE) THE FUNCTION

```
-- Using SELECT statement
SELECT get_bonus(60000) AS bonus FROM dual;
```

Output

BONUS
6000



Functions are called in SQL queries and must return a value.

6. ADVANTAGES

- ✓ Promotes code reusability.
- ✓ Improves modularity and readability.
- ✓ Reduces development time and effort.
- ✓ Can be used in SQL queries and calculations.
- ✓ Improves performance by reducing repeated logic.
- ✓ Enhances security and data integrity.

7. DISADVANTAGES

- ❗ Complex debugging.
- ❗ Additional overhead in some cases.
- ❗ Dependent on database.
- ❗ Not suitable for operations that do not return a value.
- ❗ Changes require recompilation.

5. DROP FUNCTION

```
DROP FUNCTION get_bonus;
/
```



Use DROP to remove a function from the database.

FUNCTION vs PROCEDURE

Aspect	Function	Procedure
Returns Value	Yes (Mandatory)	No (Optional)
Used in	SQL & PL/SQL	PL/SQL only
CALL Statement	Not used	Used to execute
Purpose	To return a value	To perform actions
Output	Single value	No return value



Functions make your code powerful, reusable, and efficient!
Write once, use many times.





FIRES AUTOMATICALLY
in response to events

TRIGGERS

Introduction, Importance, Types, Advantages & Disadvantages

```
CREATE TRIGGER trg_name  
{  
  -- Trigger Body  
}
```

1 INTRODUCTION



A Trigger is a special type of stored procedure that is **automatically executed (fired)** when a specific event occurs on a table or view.

Key Points

- ✓ It is associated with a table or view.
- ✓ It is executed implicitly (not called directly).
- ✓ It helps enforce integrity and business rules.
- ✓ It runs in response to DML events.

2 IMPORTANCE

- ✓ Enforces business rules automatically.
- ✓ Maintains data integrity.
- ✓ Reduces application code and complexity.
- ✓ Logs activities and audits changes.
- ✓ Prevents invalid data modification.
- ✓ Centralizes logic at the database level.

DML Event
(INSERT, UPDATE, DELETE)

TRIGGER
(Automatically Fired)

Executes
Trigger Logic



Integrity



Audit / Log



Validation

3 TYPES OF TRIGGERS

A) BASED ON TIMING



1. BEFORE TRIGGER

Fires before the triggering event occurs on the table.



2. AFTER TRIGGER

Fires after the triggering event has occurred.

B) BASED ON EVENT



INSERT TRIGGER

Fires when a row is inserted.



UPDATE TRIGGER

Fires when a row is updated.



DELETE TRIGGER

Fires when a row is deleted.

C) BASED ON LEVEL



ROW LEVEL TRIGGER

Fires once for each row affected by the event.



STATEMENT LEVEL TRIGGER

Fires once for the entire SQL statement, not for each row.

4 ADVANTAGES

- ✓ **Automated Execution:** Runs automatically on events.
- ✓ **Enforces Business Rules:** Ensures data consistency.
- ✓ **Improves Data Integrity:** Validates data at the database level.
- ✓ **Audit & Logging:** Tracks changes for security and auditing.
- ✓ **Reduces Redundancy:** Centralized logic, less application code.
- ✓ **Enhances Security:** Prevents unauthorized or invalid actions.

5 DISADVANTAGES

- ⚠ **Complexity:** Harder to debug and maintain.
- ⚠ **Performance Overhead:** May slow down DML operations.
- ⚠ **Hidden Logic:** Business logic is not visible to end users.
- ⚠ **Recursive Triggers:** Can cause infinite loops if not handled.
- ⚠ **DB Dependency:** Portability becomes database-specific.
- ⚠ **Impact on Bulk Operations:** Triggers fire for every affected row.

6 EXAMPLE

Example: After Insert Trigger (Row Level)

```
CREATE OR REPLACE TRIGGER trg_after_insert_emp  
AFTER INSERT ON employees  
FOR EACH ROW  
BEGIN  
  INSERT INTO emp_audit(emp_id, action, action_date)  
  VALUES (:NEW.emp_id, 'INSERT', SYSDATE);  
END;
```

Explanation

- **AFTER INSERT:** Fires after a row is inserted.
- **:NEW** refers to the new row data.
- Logs the action in emp_audit table.

Events and When Triggers Fire

Event	Before Trigger	After Trigger
INSERT	Before row is inserted	After row is inserted
UPDATE	Before row is updated	After row is updated
DELETE	Before row is deleted	After row is deleted

QUICK SUMMARY



Triggers are automatic stored procedures that fire on DML events.



Timing: BEFORE and AFTER



Events: INSERT, UPDATE, DELETE



Level: ROW LEVEL and STATEMENT LEVEL



Benefits: Integrity, automation, security, audit



Limitations: Complexity, performance, maintenance



USE CASES

- Enforce business rules
- Maintain audit trails
- Validate data
- Log user activities
- Update summary tables automatically



Triggers automatically enforce rules and maintain integrity—making your database smarter and safer!





BACKUP AND RECOVERY

INTRODUCTION



Backup and Recovery is the process of creating a copy (**backup**) of data and restoring it (**recovery**) in case of **data loss, corruption, or system failure**.

1. INTRODUCTION



- Data is a **critical asset** for any organization.
- Data loss** can occur due to hardware failure, software errors, human mistakes, natural disasters, or cyber attacks.
- Backup** ensures a safe copy of data.
- Recovery** helps to restore data and resume normal operations.
- The main goal is to ensure **data availability, integrity, and reliability**.

2. IMPORTANCE



Protects Data: Prevents permanent loss of important data.



Ensures Business Continuity: Helps resume business quickly after failure.



Minimizes Downtime: Reduces time required to restore systems.



Meets Legal & Compliance Requirements: Helps in maintaining regulatory and audit standards.



Provides Peace of Mind: Ensures data safety and reliability.

3. COMMON CAUSES OF DATA LOSS



Hardware Failure



Software Errors



Human Errors



Natural Disasters



Viruses / Cyber Attacks



Power Failure

4. KEY TERMS

- **Backup** : Creating a copy of data that can be used to restore the original data.
- **Recovery** : The process of restoring data from a backup after data loss.
- **Restore** : Copying data from backup media to the original system/location.
- **Redundancy** : Keeping extra copies of data to prevent data loss.
- **Disaster Recovery** : A serious event causing major data loss. Process of restoring systems and data after a disaster.



In short:

Backup protects your data today, and **Recovery** brings it back when you need it the most.



ARCHIVE (TRANSACTION) LOGS

Ensuring Data Durability and Point-in-Time Recovery

1. INTRODUCTION



Archive (Transaction) Logs are records of all changes made to the database. They are written to disk **before** the actual data changes occur and are used to **recover** the database in case of failure.

Key Points

- ✓ Contain information about every transaction.
- ✓ Stored sequentially as they are generated.
- ✓ Essential for recovery and maintaining data integrity.
- ✓ Used in combination with backups for point-in-time recovery.

2. IMPORTANCE



Ensures Durability

Guarantees that committed transactions are not lost.



Enables Recovery

Helps restore the database after failures.



Supports Point-in-Time Recovery

Allows restoring the database to any specific point in time.



Maintains Consistency

Ensures ACID properties are preserved.



Improves Reliability

Crucial for high availability and disaster recovery strategies.

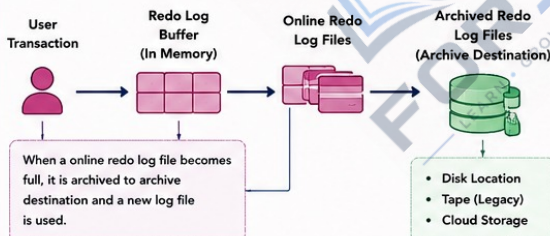
3. HOW ARCHIVE LOGS WORK



Write-Ahead Logging (WAL) Principle

The log record is written to stable storage (archive log) **before** the actual change is written to the database. This ensures that the change can be **REDONE** during recovery.

4. ARCHIVE LOG FLOW



5. ARCHIVE LOG MODES

NOARCHIVELOG Mode

- Archived logs are not created.
- Old online redo logs are overwritten.
- Point-in-time recovery is **NOT** possible.
- Suitable for non-critical databases.

ARCHIVELOG Mode

- Archived logs are created.
- Enables point-in-time recovery.
- Older logs are preserved.
- Recommended for critical databases.

6. BENEFITS

- ✓ Data Protection
- ✓ Point-in-Time Recovery
- ✓ Audit & Compliance
- ✓ Disaster Recovery
- ✓ High Availability

7. CONSIDERATIONS

- ⚠ Consumes storage space.
- ⚠ Management and monitoring are important.
- ⚠ Regular archiving and backup of logs are necessary.
- ⚠ Too many small archive logs may impact performance.

EXAMPLE

If a transaction updates a customer balance from 1000 to 1500, the archive log will record:

- Transaction ID
- Table Name
- Row ID
- Old Value (1000)
- New Value (1500)
- Timestamp

8. LOG RECORD CONTAINS



IN SHORT

Archive (Transaction) Logs are the backbone of database recovery. They ensure that your data is safe, recoverable and consistent — even in the event of failures.





IMPORTANCE OF BACKUPS

Why Backups Are Essential



A **backup** is a copy of data that can be used to restore the original data in case of loss, corruption, or any unexpected event. Backups are crucial for **data protection**, **business continuity**, and **peace of mind**.

1 PREVENTS DATA LOSS



Protects your important data from accidental deletion, hardware failure, software errors, viruses, or cyber attacks.

2 ENSURES BUSINESS CONTINUITY



Allows your business to recover quickly and continue operations with minimal downtime after a failure or disaster.

3 ENABLES QUICK RECOVERY



Helps restore data to a specific point in time, reducing recovery time and getting you back on track faster.

4 MAINTAINS DATA INTEGRITY



Ensures the accuracy, consistency, and reliability of your data over time.

5 MEETS LEGAL & COMPLIANCE NEEDS



Helps meet regulatory and industry requirements for data retention, protection, and audit purposes.

6 SUPPORTS DISASTER RECOVERY



Essential for recovering from major disasters like natural calamities, fires, floods, or system-wide failures.

7 PROTECTS AGAINST HUMAN ERRORS



Helps undo mistakes like accidental deletion, overwriting data, or incorrect changes.

8 PROVIDES PEACE OF MIND



Knowing your data is safely backed up gives confidence and reduces stress during unexpected situations.



KEY TAKEAWAY

Backups are not just a good practice – **they are a necessity**.

They protect your **data**, ensure **business continuity**, and save **time, money, and reputations**.



DATABASE RECOVERY

Restoring Data, Restoring Trust



Database Recovery is the process of restoring a database to a **consistent and correct state** after a failure. It ensures **data durability** and **availability**, even in the presence of system crashes, media failures, or human errors.

1. INTRODUCTION



Failures can occur due to:

- System crash
- Media (disk) failure
- Power failure
- Human errors
- Natural disasters



Goal: Restore the database to a **consistent state** with no loss of committed data.

2. IMPORTANCE



Ensures Data Durability

No committed data is lost.



Maintains Database Consistency

Brings the database to a correct state.



Minimizes Downtime

Quick recovery helps resume operations.



Protects Against Failures

Handles crashes, media failures, and disasters.



Supports Business Continuity

Keeps the organization running smoothly.

3. TYPES OF FAILURES



Transaction Failure

A transaction cannot complete due to logical or system errors.



System Failure

Database is intact, but main memory contents are lost.



Media Failure

Storage media is damaged or lost.



Catastrophic Failure

Loss of database and storage.

4. RECOVERY PROCESS



- 1 **Detect Failure:** System detects a failure has occurred.
- 2 **Analyze Logs:** Check the log files to find the last committed transaction.
- 3 **Restore Database:** Use backups and logs to undo uncommitted transactions and redo committed ones.
- 4 **Consistent State:** Database is restored to a consistent and correct state.

5. TECHNIQUES USED



Transaction Logs

Records all changes in the database.



UNDO (Roll Back)

Undoes all uncommitted transactions.



REDO (Roll Forward)

Reapplies committed transactions.



Backup

Used in case of media or catastrophic failure.

6. WAL (WRITE-AHEAD LOGGING)

Principle:

Log record is written to stable storage (log) before the actual data is written to the database.

Benefit:

- ✓ Ensures atomicity and durability.
- ✓ Enables recovery using logs.
- ✓ Prevents loss of committed data.

7. EXAMPLE

Transaction	Status
T1	Committed
T2	Committed
T3	Not Committed

If failure occurs:

- T3 is UNDO (rolled back)
- T1 and T2 are REDO (reapplied)

Database is restored to the state after T2 committed.

8. KEY TAKEAWAY



- ✓ Recovery ensures reliability and trust in the database.
- ✓ Logs ≠ Backup = Powerful recovery mechanism.
- ✓ UNDO removes incomplete changes.
- ✓ REDO replays completed changes.
- ✓ Goal is always a **consistent and correct** database.

IN SHORT



Database Recovery brings the database back to life after failure — ensuring no committed data is lost and business can continue.



Good recovery is not just about restoring data, it's about **restoring confidence** in your system.

TRANSACTION CONTROL

Managing Transactions to Ensure Data Integrity and Consistency



Transaction Control is the mechanism used by a DBMS to manage the execution of transactions, ensuring that database remains **consistent**, **reliable** and **recoverable** even in case of failures.

1. INTRODUCTION



A transaction is a sequence of read/write operations performed as a single logical unit of work. Transaction Control ensures that transactions are executed correctly and do not affect database consistency.

Example

Funds Transfer: Debit from Account A and Credit to Account B. Both operations must complete successfully or none at all.

2. OBJECTIVES



Maintain Consistency

Ensure database remains in a consistent state.



Ensure Atomicity

All operations of a transaction are completed or none.



Ensure Isolation

Concurrent transactions do not interfere with each other.



Ensure Durability

Once a transaction is committed, changes are permanent.



Recoverability

Database can be recovered in case of failure.

3. ACID PROPERTIES



Atomicity

All operations in a transaction are treated as a single unit.



Consistency

Transaction brings the database from one consistent state to another.



Isolation

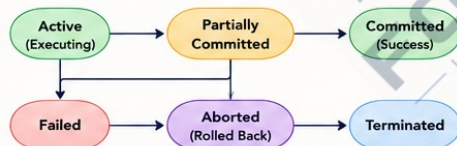
Transactions execute independently without affecting each other.



Durability

Once committed, the changes are permanent even after failures.

4. TRANSACTION STATES



- Active**: Transaction is being executed.
- Partially Committed**: Last operation executed.
- Committed**: Transaction completed successfully.
- Failed**: An error or crash occurs.
- Aborted**: Transaction rolled back.
- Terminated**: Transaction ends after commit or rollback.

5. CONTROL OPERATIONS



BEGIN TRANSACTION

Marks the start of a transaction.



COMMIT

Makes all changes permanent.



ROLLBACK

Undoes all changes of the transaction.



SAVEPOINT

Sets a point within a transaction to which we can rollback.



LOCK / UNLOCK

Controls access to data items to ensure isolation.

6. CONCURRENCY CONTROL

- Multiple transactions may run concurrently.
- Concurrency Control ensures isolation and serializability.
- Common Techniques:



Lock-Based Protocols
(e.g., Two-Phase Locking)



Timestamp Ordering Protocol



Validation / Optimistic Concurrency Control

7. BENEFITS



Data Integrity and Accuracy



Reliable and Consistent System



Failure Recovery



Supports Concurrency



Better Database Performance



In Short:

Transaction Control ensures that transactions are executed safely and reliably, maintaining the correctness of the database even in the presence of errors, failures and concurrent access.

